



Your Trusted Partner for

18 Years in GRC &

Anti-Money Laundering

(AML)

Even the largest banks are vulnerable to money laundering problems, though they pour billions of dollars annually into anti-money laundering compliance programs. To battle this with renewed initiatives, they are expected to increase their investment at an exponential rate. The spent on the components of operations such as training, analysis and reporting is expected to take a major chunk followed by internal and external IT spending.

## AgreeYa GRC & AML Practice

AgreeYa is a global provider of governance, risk and AML compliance consulting and services. AgreeYa engages with financial institutions and other organizations to strengthen their governance, risk and compliance management (GRC) programs and reduce customer exposure to regulatory sanctions.

“ Research shows, about \$500 billion to \$1 trillion of dirty money is laundered every year\*, which clearly indicates that organizations need stronger anti-money laundering measures. We can help you in your war against money-laundering. ”

Source: [rense.com](http://rense.com)

AgreeYa has partnered with small to large banks and other financial institutions on various risk and compliance projects in the following areas:

- Know Your Customer (KYC)
- Customer Due Diligence (CDD)
- Enhanced Due Diligence (EDD)
- Lookbacks and Remediation
- Customer Identification Program (CIP)
- Title 31 – Casinos
- Foreign Exchange (FX)
- Suspicious Activity Reporting (SAR)
- Currency Transaction Report (CTR)
- Office of Foreign Assets Control (OFAC)/Sanctions
- Transaction Monitoring and Analysis
- Responding to Regulatory Directives and Related Enforcement
  - > Review of account opening documentation
  - > Preparation of reports for government regulators

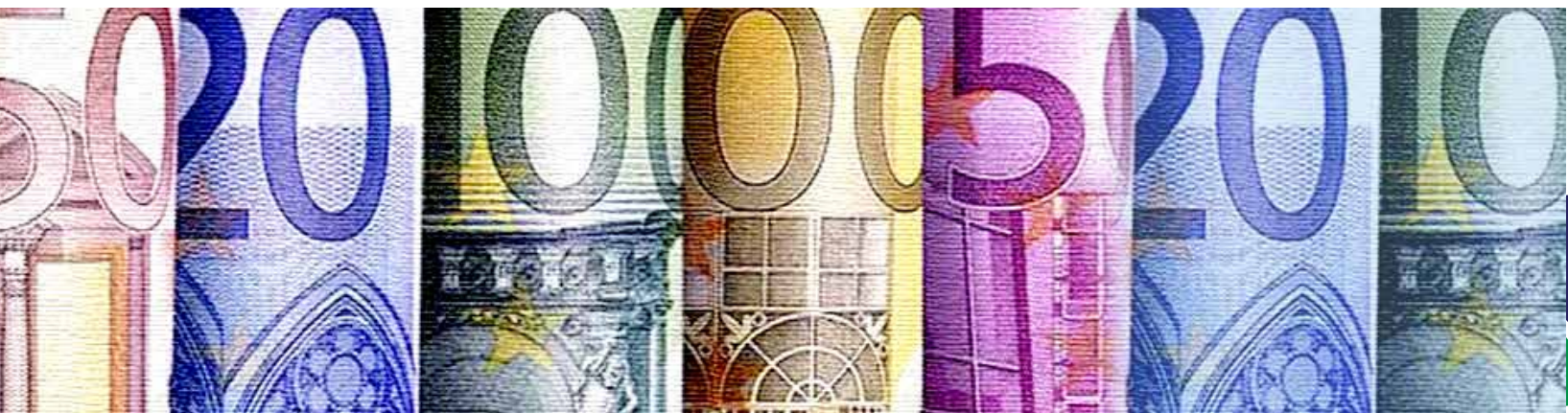


AgreeYa offers certified professionals and technology to help clients navigate and comply with regulatory standards. As part of AgreeYa's software and solution offerings, it helps customers with the following:

- Regulatory Reporting
- Big Data and Analytics
- Ad hoc Reporting
- Integration of IT Systems
- Customized Web and Mobile Development
- Portal Development
- Independent Software Testing

“ About 40 percent of respondents claim their companies are planning to exit and/or are investigating the possibility of exiting a business line or segment in the next 12 months due to perceived regulatory risk. ”

Source: Dow Jones AML Survey 2016





# Case Studies

## Leading International Banking Group Remediates AML Transaction Surveillance System

### The Need

- Remediate compliance issues
- Address deficiencies in anti-money-laundering (AML) transaction surveillance system
- Proactively address potential future issues to avoid heavy fines

### The Approach and Solution

- Team of CAMS certified AML experts worked closely with client staff to review deficiencies in transaction surveillance system
- Thorough investigation and identification of high-risk transfers
- Identified patterns throughout the banking group's network
- Carefully analyzed and assessed transactions

### Results from Working with AgreeYa

- Successfully corrected surveillance errors
- Implemented measures to prevent system identification failure and avoid future penalties

## One of the Oldest Financial Institutions in the U.S. Streamlines Compliance and Regulatory Processes and Improves Vital Technology Tools

### The Need

- Improve compliance and regulatory strategies
- Improve and enhance vital technology tools for critical data research

### The Approach and Solution

- Implemented systems for streamlining compliance processes
- Improved and enhanced regulatory processes
- Enhanced tools for data analytics

### Results from Working with AgreeYa

- Enhanced technology tools that turn data into insight for millions of customers around the world
- Streamlined compliance and regulatory processes
- Implemented measures to prevent fraudulent transactions and avoid penalties

## AML/BSA Compliance Company Enhances BSA Compliance Program

### The Need

- Assess adequacy of anti-money-laundering compliance program
- Analyze adequacy of Bank Secrecy Act (BSA) compliance program
- Address risks within the organization's offerings of products and services

### The Approach and Solution

- Identified gap exposures within remote deposit captures (RDC)
- Identified significant gaps with the bank's current risk assessment vendor and collaborated to find a new vendor
- Assessed risks and limits of AML program
- Addressed handling of new and profitable marijuana businesses taking hold in the region

### Results from Working with AgreeYa

- Enhanced and improved AML surveillance systems
- Remediated gaps and risks by establishing a relationship with a new vendor
- Improved processes to quickly identify potential money laundering schemes
- Enhanced BSA compliance program
- Implemented measures to ensure legitimacy of all transactions and prevent compliance penalties



# AgreeYa Value Proposition

- 19+ years of experience and domain expertise in the financial services sector
- Trained CAMS and CFE certified professionals consisting of investigators, QA specialists, remediation analysts, risk analysts, legal assistants, compliance specialists and compliance analysts
- Specialized governance, risk and compliance practice
- Flexible engagement model - managed operations, project- based temporary staffing
- Risk and compliance services partner to some of the world's largest financial institutions
- System integration capabilities to become your single AML partner

## About AgreeYa

AgreeYa is a global systems integrator delivering competitive advantage for its customers through software, solutions, and services. Established in 1999. AgreeYa is headquartered in Folsom, California, with a global footprint and a team of more than 1,700 staff across offices. AgreeYa works with 500+ organizations ranging from Fortune 100 firms to small and large businesses across industries such as Telecom, BFSI, Healthcare, Pharma/Life Sciences, Utility, Technology, Public sector and others.

AgreeYa has received considerable recognition in the industry for its technology leadership, quality processes, and customer success that includes Microsoft Gold partner, SEI CMMI, ISO 9001:2015. We engage with our customer as a trusted partner to live our motto "...building our future on your success".

AgreeYa's software portfolio includes QuickApps (award winning suite of SharePoint web apps and pre-built templates - [www.quickapps.agreeya.com](http://www.quickapps.agreeya.com)), Site Administrator (gain insight for improved compliance and governance of your SharePoint environment - [www.siteadmin.agreeya.com](http://www.siteadmin.agreeya.com)), Recovery Manager (solution for rapid and scalable SharePoint content restores - [www.recoverymanager.agreeya.com](http://www.recoverymanager.agreeya.com)), BeatBlip (simplify software test automation - [www.beatblip.com](http://www.beatblip.com)), and Cogent (comprehensive end-to-end case management solution for collections and law firms - [www.cogentcollections.com](http://www.cogentcollections.com)).

As part of its solutions and services offerings, AgreeYa provides intranet/portal, content management, and collaboration, cloud and infrastructure solutions, business intelligence and big data analytics, product engineering, application development and management, independent software testing, and staffing (IT, Non-IT, and risk/compliance/AML) solutions.

For more information, visit [www.agreeya.com](http://www.agreeya.com)

## Recognitions



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